

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 035302	(X2) MULTIPLE CONSTRUCTION A. Building B. Wing	(X3) DATE SURVEY COMPLETED 08/14/2024
NAME OF PROVIDER OR SUPPLIER Diamondback Healthcare Center		STREET ADDRESS, CITY, STATE, ZIP CODE 3000 N 91st Avenue Phoenix, AZ 85037	

For information on the nursing home's plan to correct this deficiency, please contact the nursing home or the state survey agency.

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (Each deficiency must be preceded by full regulatory or LSC identifying information)
<p>F 0695</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Some</p>	<p>Provide safe and appropriate respiratory care for a resident when needed.</p> <p>**NOTE- TERMS IN BRACKETS HAVE BEEN EDITED TO PROTECT CONFIDENTIALITY** 47910</p> <p>Based on personnel record reviews, facility documentation, staff interviews and policy review, the facility failed to ensure staffs had competencies or skills needed to provide care for 8 residents on ventilator and tracheostomy. The facility census was 75. The deficient practice could result in patients with ventilator and tracheostomy (Vent/ Trach) not receiving care that they need.</p> <p>Findings include:</p> <p>Review of the Vent/Trach Census revealed eight (8) residents identified with a tracheotomy, ventilator or both a tracheotomy and ventilator.</p> <p>Review of the facility staff schedule dated August 11, 2024 revealed the following staff scheduled for the 6:00pm to 6:00 am shift for the facility:</p> <ul style="list-style-type: none"> - For A Wing (Ventilator/ Tracheostomy unit) : <p>LPN (Licensed Practical Nurse)/Staff #201</p> <p>LPN/Staff #38</p> <p>LPN/Staff #157 (requested off)</p> <ul style="list-style-type: none"> - For C Wing: <p>LPN/Staff #116</p> <p>LPN/Staff #34</p> <ul style="list-style-type: none"> - 2nd Floor Nurse: <p>LPN/Staff #67</p> <p>The staff schedule did not reveal that a Respiratory Therapist (RT) was scheduled for 6pm to 6am schedule. One RT staff (#68) was only scheduled for 6:00a.m-6:30p.m.</p> <p>(continued on next page)</p>

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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<p>F 0695</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Some</p>	<p>Review of the personnel record for LPN/staff #38, revealed a hire date of March 22, 2023. Further review of the personnel record revealed no documented experience providing care for ventilator and tracheotomy patients.</p> <p>The SA complaint tracking system included that a report was submitted on August 12, 2024 that the staffing did not staff respiratory therapist and instead utilized an LPN who did not care for the patients properly. The report stated that when the daytime RT arrived, she was in shock at the state of the trach and vent patients. The report further stated that none of the patients with trach and vents received the required trach care, vent checks, or suctioning as the staffing refused to staff respiratory therapists in a airway wing (unit) causing risk to every patients on trachs and/or vents.</p> <p>Further review of the facility schedule provided on site on August 14, 2024 by Director of Operations (DO/ Staff#119) revealed a Registered Nurse (RN/Staff#106) was scheduled Mondays, Tuesdays, Fridays and Saturdays 6:00p.m to 4: 00a.m for the week of August 12 thru August 18, 2024. Further review of the staff schedule for the week of August 12 thru August 18 2024 revealed no RT scheduled after 6:30 p.m. for August 17 and August 18, 2024. Additional review of the schedule revealed one RN/Staff #134 scheduled Saturday, August 17, 2024 for the 6:00a.m.-6:30p.m. shift on the vent/trach unit A. This review revealed no RN and/ or RT scheduled after 4: 00 a.m on Sunday, August 18, 2024. The facility will be solely staffed with LPN's and CNA's for this time frame.</p> <p>An interview was conducted on August 14, 2024 at 12:27 p.m. with Respiratory Therapist (RT/Staff #48) who stated when they returned to work following the weekend of August 10-11, 2024 they stated it was a real shit show. Staff #48 stated residents who were on vents had not been placed back on their vents and there were cuff issues. Staff #48 stated they were willing to stay and assist, but were told to leave because they were over their hours. Staff #48 stated they had concerns, because there had been a call off and the facility was trying to find a respiratory therapist or an RN at the last minute, but no one showed and that left LPN/Staff #201 in charge of vent/trach unit. Staff #48 stated they were very concerned knowing that LPN#201 was the only one who was able to suction and provide trach care only. Staff #48 stated there were a lot a resident's to care for, especially for someone with limited education and experience with trach and vent residents. They stated that there was potential for a serious emergency situation.</p> <p>An interview was conducted on August 14, 2024 at 12:42 p.m. with an LPN/Staff #118. Staff #118 stated they are able to provide trach care as needed. They stated they were provided trach care training and has been a nurse for [AGE] years. Staff #118 stated they were provided the trach training by the prior Respiratory Manager when they first signed on and also received annual skills training.</p> <p>An interview was conducted on August 15, 2024 at approximately 10a.m with a RT (Staff # 68). Staff # 68 stated he is responsible for taking care of complicated vent and trach patients and management of their respiratory needs. Staff #68 stated the facility has three full time day shift respiratory therapists, one full time for night shift and one PRN (as needed). Staff # 68 stated LPN's are with their scope of practice when providing basic care, such as suctioning, but that airway clearance is generally a shared responsibility with training. He further stated that he did not believe any LPN should provide any vent/trach care, especially with decannulations or accidental dislodgements. Staff #68 stated he finds the risks working with vent and trach residents stressful and he is trained to manage that airway. He stated an LPN and even an RN are not specifically trained to manage an airway and would consider it a high risk to not have an RT or an RN for any shift, with only an LPN on a high-risk unit.</p> <p>(continued on next page)</p>		

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<p>F 0695</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Some</p>	<p>An interview was conducted with the Director of Nursing (DON/staff #70) on August 15, 2024 at approximately 11a.m.. He stated respiratory therapists are scheduled 12-hour shifts- Sunday thru Saturdays - 7 days per week. He stated there can be fluctuations with this and would depend if there was a call off. He stated he would then replace with a PRN therapist or with registry staff. If no one available he would need to rely on the facility's qualified LPN or RN personnel. Staff # 70 stated the LPN's responsibilities on the vent/trach unit are to pass medications, care for peg tubes, help monitor some of the vent/trach machines, some suctioning as needed and assist the RT. Staff #70 stated the nursing staff did receive a training check off from the prior RT manager and from training from prior experience. Staff #70 stated the LPN's are not able to assess a trach or vent resident, but are able to assist the RT and in an emergency situation LPN's would have to do what they need to do to get that taken care of, by bagging and sending the resident to the emergency room . He stated it is not within the LPN's scope of practice to assess vent settings or independently implement nursing actions based on their observations, but are able to respond to alarms if there is an RN or RT in the facility to assist. Staff # 70 stated he was aware there were no Respiratory therapists or an RN were staffed on the date in question, but they are doing there best and trying to make changes and that there is a bigger picture with what the facility is trying to accomplish.</p> <p>An interview was conducted on August 15, 2024 at 12:42 p.m. with an LPN/Staff #81 at 9:23 a.m. Staff #81 stated she does not feel comfortable with ventilation settings, alarms, or emergent situation with vent residents. She stated it is not in her scope of practice. Staff # 81 stated she paired up with the respiratory therapist and will change dressings and suction the trach residents only as needed.</p> <p>An email was received from Director of Operations (DO/ Staff #119) on August 22, 2024, 10:29 AM who emailed the stating that the night shift supervisor on the dates in question was RN/Staff#106, who was overseeing the Licensed Practical Nurse acting as an RT. The email stated that the Staff#106 has an active RN license in the state of Arizona. Email had an attachment with identical staff schedule, similar to the one provided on site August 14, 2024 by DON/Staff #70 with the addition of RN/Staff #106.</p> <p>Review of the Arizona State Board of Nursing opinion: ventilator care by LPN's approved date: 5/93 revised date: 3/03, 03/07, 1/11, 1/15, 3/18. originating committee: Scope of Practice Committee states the following;</p> <ul style="list-style-type: none"> - It is NOT within the scope of practice of a licensed practical nurse (LPN) to independently implement nursing actions based on conclusions of assessments drawn from his/her observations. e.g. perform ventilator adjustments. - It is within the LPN scope of practice to provide care to a ventilator dependent patient under the supervision and delegation of a registered nurse (RN), as specified in R4-19-101 - LPNs may assist with ventilator care in all settings by providing basic care, making observations, and by recording and reporting such observations. <p>III.RATIONALE</p> <p>(continued on next page)</p>		

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<p>F 0695</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Some</p>	<p>- An LPN may manage aspects of routine ventilator care in a ventilator-dependent patient. Acts which involve patient diagnosis or prescription of nursing interventions to implement a strategy of care, such as changing ventilator settings in response to laboratory results or change in patient condition, are NOT within the LPN scope of practice.</p> <p>Review of the facility policy title Tracheostomy Care states the facility will ensure that resident's who need respiratory care, including tracheostomy care and tracheal suctioning, is provided such care consistent with professional standards of practice, the comprehensive person-centered care plan and resident goals and preferences.</p> <p>5. The facility will ensure staff responsible for providing tracheostomy care including suctioning are trained and competent according to professional standards of practice.</p> <p>Review of the facility policy titled Mechanical Ventilation with use of Oxygen Concentrator states the purpose is to establish a standardized procedure for using ventilators with oxygen concentrators in order to ensure patient safety, optimal respiratory support, and effective emergency response.</p> <p>Responsibilities included:</p> <p>-Respiratory Therapists: Responsible for setting up and monitoring the ventilator and concentrator, adjusting as necessary, and ensuring emergency readiness.</p> <p>-Nurses- Assist with patient assessment, monitoring, and documentation, and ensure effective communication within the care team.</p> <p>-Leadership- Ensure staff are trained on the protocol and policy, provide necessary resources, and oversee compliance with the policy</p>		