

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 056065	(X2) MULTIPLE CONSTRUCTION A. Building B. Wing	(X3) DATE SURVEY COMPLETED 10/23/2024
NAME OF PROVIDER OR SUPPLIER Santa Cruz Post Acute		STREET ADDRESS, CITY, STATE, ZIP CODE 1115 Capitola Road Santa Cruz, CA 95062	

For information on the nursing home's plan to correct this deficiency, please contact the nursing home or the state survey agency.

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (Each deficiency must be preceded by full regulatory or LSC identifying information)
<p>F 0689</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Few</p>	<p>Ensure that a nursing home area is free from accident hazards and provides adequate supervision to prevent accidents.</p> <p>36623</p> <p>Based on interview and record review, the facility failed to provide proper supervision for one of three residents (Resident 1) when a nurse aide (nursing assistant) did not have the required certification to work as a certified nursing assistant (CNA) and assisted Resident 1 out of the shower room by herself. This failure resulted in Resident 1's fall.</p> <p>Findings:</p> <p>Review of Resident 1's clinical record indicated she was admitted to the facility with diagnoses including intraspinal abscess and granuloma (swelling and inflammation in or around the spinal cord due to infection) and difficulty in walking.</p> <p>Review of Resident 1's Minimum Data Set (MDS, an assessment tool), dated 8/27/24 indicated for Tub/Shower transfer (the ability to get in and out of a tub/shower), Resident 2 was dependent, meaning Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.</p> <p>Review of Resident 1's IDT (interdisciplinary) - Fall notes, dated 9/16/24 indicated on 9/13/24, indicated, When the CNA [certified nursing assistant] attempted to transport her back to her room, via the wheeled shower chair, [Resident 1] slipped from the shower chair onto the floor, landing on her buttocks. The IDT notes also indicated Resident 2 is a two-person assist with transfers.</p> <p>During an interview on 9/23/24 at 10:15 a.m., Resident 1 stated two Fridays ago (9/13/24) she fell in the shower room. Resident 1 stated nursing assistants in the facility were told that they did not have certification. She stated one of the nursing assistants, Nursing Assistant A (NA A), who did not have certification, helped her to and from the shower room. Resident 1 stated that she was on a shower chair and NA A did not take her out of the shower room properly. She stated NA A was pushing her on the shower chair and the shower chair wheel got caught on the drain, so she fell forward onto the shower room floor.</p> <p>During an interview on 9/23/24 at 10:26 a.m., nursing assistant A (NA A) stated she was helping Resident 1 out of the shower and when she pushed Resident 1 in the shower chair, Resident 1 fell over. NA A stated she had been working in the facility for six months and was in the process of getting her CNA certification.</p> <p>(continued on next page)</p>

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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<p>F 0689</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Few</p>	<p>During a telephone interview on 10/23/24 at 2:15 p.m., an agent from Registry Unit of The Healthcare Professional Certification Program stated a person can only work as a nursing assistant for up to 120 days while certification requirements are pending, meaning while they are waiting for test results. The agent stated the only way they can work as a CNA is after they take the written test and skills test.</p> <p>During an interview on 10/23/24 4:14 p.m., the director of nursing (DON) stated the safest way to push a resident who is larger than you is to get someone to help.</p> <p>During an interview on 10/23/24 at 3:51 p.m., the administrator (ADM) confirmed NA A was assigned as a CNA to take care of residents by herself. The ADM confirmed NA A was hired before completing a skills test and written test. He stated NA A should not have been working in patient care before passing the required tests.</p> <p>During an interview on 10/25/24 at 11:40 a.m., the DON stated NA A should not have been working by herself. The DON stated NA A needed to be certified to be sure that she was capable of all the skills as a certified nursing assistant.</p> <p>Review of the California Department of Public Health L & C (Licensing and Certification) Verification Search Page (This system displays information related to Certified Nurse Assistants [CNA] and other certificate and license types), on 9/6/24 indicated NA A did not have an active certification as a CNA.</p> <p>Review of NA A's hire letter, dated 4/30/24 indicated the facility is delighted to offer you the Full-Time position as a Certified Nursing Assistant with an anticipated start date of May 1, contingent upon the completion and results of a background check and drug screening.</p> <p>Review of NA A's Job Description: Certified Nursing Assistant, signed by NA A on 5/8/24, indicated, Must be licensed Certified Nursing Assistant in accordance with the laws of the state.</p> <p>According to the CDPH website, Licensing and Certification Program, updated 10/7/24 indicated, An applicant for certification as a CNA shall comply with each of the following: Be at least sixteen (16) years of age. Have successfully completed a training program approved by the department in California, which includes an examination to test the applicant's knowledge and skills related to basic patient care services . Certification is issued when the CDPH receives an applicant's . Successful exam results from testing vendor.</p>		

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<p>F 0728</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Some</p>	<p>Ensure that nurse aides who have worked more than 4 months, are trained and competent; and nurse aides who have worked less than 4 months are enrolled in appropriate training.</p> <p>36623</p> <p>Based on interview and record review, the facility failed to ensure three of 12 aides (Nursing Assistant A (NA A), Nursing Assistant B (NA B), and Nursing Assistant C (NA C)) completed their state certification program, including an examination to test the aides' knowledge and skills. This failure resulted in staff working as Certified Nurse Assistants (CNA) without proper certification and had the potential to put residents' safety at risk.</p> <p>Findings:</p> <p>Review of the California Department of Public Health L & C (Licensing and Certification) Verification Search Page (This system displays information related to Certified Nurse Assistants [CNA] and other certificate and license types), on 9/6/24 indicated Nursing Assistant A (NA A), Nursing Assistant B (NA B), and Nursing Assistant C (NA C) did not have active certifications as CNAs.</p> <p>Review of NA A's hire letter, dated 4/30/24 indicated the facility is delighted to offer you the Full-Time position as a Certified Nursing Assistant with an anticipated start date of May 1, contingent upon the completion and results of a background check and drug screening.</p> <p>Review of NA A's Job Description: Certified Nursing Assistant, signed by NA A on 5/8/24, indicated, Must be licensed Certified Nursing Assistant in accordance with the laws of the state.</p> <p>Review of NA B's hire letter, dated 5/5/24 indicated the facility is delighted to offer you the Full-Time position as a Certified Nursing Assistant with an anticipated start date of May 14, 2024, contingent upon the completion and results of a background check and drug screening.</p> <p>Review of NA C's hire letter, dated 5/21/24 indicated the facility is delighted to offer you the Full-Time position as a Certified Nursing Assistant with an anticipated start date of May 22, 2024, contingent upon the completion and results of a background check and drug screening.</p> <p>Review of NA C's Job Description: Certified Nursing Assistant, signed by NA C on 7/9/24, indicated, Must be licensed Certified Nursing Assistant in accordance with the laws of the state.</p> <p>Review of the facility's July 2024 CNA Evening Schedule indicated NA B was scheduled to work 7/1, 7/2, 7/6-7/8, 7/12 to 7/15, 7/18 to 7/21, 7/24 to 7/25, 7/27, and 7/30 to 7/31.</p> <p>Review of the facility's August 2024 CNA Evening Schedule indicated NA B was scheduled to work 8/1, 8/2, 8/5 to 8/8, 8/11 to 8/14, 8/17 to 8/20, 8/23 to 8/26, 8/29 to 8/31.</p> <p>Review of the facility's August 2024 CNA Night Schedule indicated NA A was schedule to work 8/1 to 8/3, 8/7 to 8/9, 8/13 to 8/16, 8/19 to 8/22, 8/25 to 8/28, and 8/31. The schedule also indicated NA C was scheduled to work 8/1, 8/13, 8/15, 8/16, and 8/25.</p> <p>Review of the facility's Nursing Staffing Assignment and Sign-In Sheets, indicated the Discipline of NA A, NA B, and NA C was CNA. The Sign-In Sheets also indicated the following:</p> <p>(continued on next page)</p>		

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<p>F 0728</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Some</p>	<p>On 7/7/24, NA B was assigned as a to take care of residents in six rooms during the evening shift;</p> <p>On 7/8/24, NA B was assigned to take care of residents in six rooms during the evening shift;</p> <p>On 7/24/24, NA B was assigned to take care of residents in four rooms during the evening shift;</p> <p>On 8/1/24, NA C was assigned to take care of residents in five rooms during the night shift;</p> <p>On 8/2/24, NA A was assigned to take care of residents in seven rooms during the night shift, NA C was assigned to take care of residents in seven rooms during the night shift, NA C was assigned to take care of residents in five rooms during the morning shift, and NA A was assigned to take care of residents in seven rooms during the evening shift;</p> <p>On 8/6/24, NA B was assigned to take care of residents in five rooms during the evening shift;</p> <p>On 8/13/24, NA C was assigned to take care of residents in six rooms during the night shift;</p> <p>On 8/15/24, NA C was assigned to take care of residents in seven rooms during the night shift;</p> <p>On 8/16/24, NA C was assigned to take care of residents in 14 rooms during the night shift;</p> <p>On 8/23/24, NA B was assigned to take care of residents in seven rooms during the evening shift;</p> <p>On 8/24/24, NA A was assigned to take care of residents in seven rooms during the night shift and NA C was assigned to take care of residents in five rooms during the night shift;</p> <p>On 8/25/24, NA B was assigned to take care of residents in six rooms during the evening shift;</p> <p>On 9/5/24, NA B was assigned to take care of residents in seven rooms during the evening shift;</p> <p>On 9/10/24, NA B was assigned to take care of residents in seven rooms during the evening shift.</p> <p>During an interview on 9/23/24 at 10:26 a.m., NA A stated she had been working in the facility for six months and was in the process of getting her CNA certification.</p> <p>During an interview on 9/17/24 at 3:38 p.m., NA B stated he did not have a CNA certification yet. He stated he will take the skills test in two weeks. NA B stated he has been working on the floor since May 2024. He stated he had his own assignment, was helping residents, and doing all the care for the residents.</p> <p>During an interview on 9/27/24 at 11:04 a.m., NA C stated after completing the nursing assistant program, she applied to work at the facility. NA C stated she was working like she was a CNA except transferring residents.</p> <p>During a telephone interview on 10/23/24 at 2:15 p.m., an agent from Registry Unit of The Healthcare Professional Certification Program stated a person can only work as a nursing assistant for up to 120 while certification requirements are pending, or while they are waiting for results. The agent stated the only way they can work as an CNA is after they take the written test and skills test.</p> <p>(continued on next page)</p>		

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<p>F 0728</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Some</p>	<p>During an interview on 10/23/24 at 3:51 p.m., the administrator (ADM) confirmed NA A, NA B, and NA C were assigned as CNAs and took care of residents by themselves. The ADM confirmed NA A, NA B, and NA C were hired before they completed a skills test and written test. He stated the NAs should not have been providing patient care before taking and passing their tests.</p> <p>According to the CDPH website, Licensing and Certification Program, updated 10/7/24 indicated, An applicant for certification as a CNA shall comply with each of the following: Be at least sixteen (16) years of age. Have successfully completed a training program approved by the department in California, which includes an examination to test the applicant's knowledge and skills related to basic patient care services . Certification is issued when the CDPH receives an applicant's . Successful exam results from testing vendor.</p>		