

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  145527	(X2) MULTIPLE CONSTRUCTION A. Building B. Wing	(X3) DATE SURVEY COMPLETED  04/24/2025
NAME OF PROVIDER OR SUPPLIER  Brandel Health and Rehab		STREET ADDRESS, CITY, STATE, ZIP CODE  2155 Pfingsten Road Northbrook, IL 60062	
For information on the nursing home's plan to correct this deficiency, please contact the nursing home or the state survey agency.			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (Each deficiency must be preceded by full regulatory or LSC identifying information)		
<p>F 0729</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Many</p>	<p>Verify that a nurse aide has been trained; and if they haven't worked as a nurse aide for 2 years, receive retraining.</p> <p>40987</p> <p>Based on interview and record review, the facility failed to conduct a Health Care Worker Registry background verification for an employee before working and caring for residents in the long term care facility. This failure has the potential to affect all 66 residents currently residing in the facility.</p> <p>Findings include:</p> <p>On 4/21/25 at 9:30 AM, V1, Administrator presented the survey team with the facility matrix showing 66 current residents.</p> <p>On 4/22/25 at 11:07 AM, V6, Human Resource Director was inquired of completing background screening checks for staff. V6 said, Yes, we check them upon hire.</p> <p>The health care worker background check was completed for random Certified Nurse Assistants (CNAs) and other direct care access staff members with V6.</p> <p>V3, CNA was hired in August of 2000. Upon review of V3 CNA's background check it was identified that the facility did not complete a UCIA (Uniform Conviction Information Act) check upon hire. The facility was also unable to provide V3's fingerprint based background check upon hire.</p> <p>On 4/22/25 at 12:42 PM, V6 said, We don't have any other background checks for V3 until 2006.</p> <p>On 4/23/25 at 10:25 AM, V6 provided this surveyor with a IDPH Health Care Worker Registry background check for V3 CNA completed on 4/23/25 at 8:03 AM.</p> <p>The September 2018 Illinois Department of Public Health Employer Health Care Worker Registry states in part: FINGERPRINTS/BACKGROUND CHECKS</p> <p>WHICH EMPLOYEES NEED TO BE FINGERPRINTED FOR A BACKGROUND CHECK?</p> <p>(continued on next page)</p>		

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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<p>F 0729</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Many</p>	<p>Health care employers must ensure that a fingerprint-based background check, initiated as a Fee Applicant Inquiry to the Illinois State Police, has been conducted for each employee who falls under the jurisdiction of the Health Care Worker Registry (HCWR). The HCWR is governed by the Health Care Worker Background Check Act [225 ILCS 46] and the Health Care Worker Background Check Code [77 Ill. Adm. Code 955]. The Act and the Code mandate which employees fall under the jurisdiction of the HCWR. The following types of employees fall under the HCWR's jurisdiction:</p> <ul style="list-style-type: none"> <li>o For all health care employers, an unlicensed individual employed as a home health care aide, a nurse aide, a personal care assistant, a private duty nurse aide, a day training personnel, or an individual working in any similar health-related occupation where he/she provides direct care.</li> <li>o Additionally, for long-term care facilities ONLY, an unlicensed individual who has or may have contact with long-term care residents or access to the living quarters or financial, medical, or personal records of long-term care residents (access workers).</li> </ul> <p>Therefore, the only employees who fall under the HCWR's jurisdiction are unlicensed staff providing direct care or unlicensed staff in long-term care facilities who have access to resident's living quarters or records. Licensed staff (such as nurses) do not fall under the HCWR jurisdiction and should not be added to the HCWR or sent for a fingerprint-based background check through the HCWR. Likewise, staff who do not provide direct care (except for access workers in long-term care facilities) also do not fall under the HCWR jurisdiction; those staff should not be added to the HCWR or sent for a fingerprint-based background check through the HCWR.</p> <p>Employers may have policies that require fingerprint-based background checks to be completed on all employees. For staff that do not fall under the jurisdiction of the HCWR, however, employers must have an alternative way to conduct those background checks. Background checks for those employees cannot be initiated through the HCWR. If a background check for an employee who does not fall under the jurisdiction of the HCWR discloses disqualifying convictions, the HCWR cannot process a waiver application for that employee, since that employee is not under our jurisdiction.</p> <p>WHAT IS A UCIA BACKGROUND CHECK?</p> <p>UCIA, which stands for the Uniform Conviction Information Act, is the type of background checks used by the HCWR prior to the implementation of the fingerprint-based background checks (prior to October 2007). A UCIA background check is no longer valid for use with the HCWR. If someone on the HCWR has a UCIA background check, that person's Work Eligibility will show Not Yet Determined. A new or potential employer must initiate a Livescan request to conduct a fingerprint-based background check for that individual.</p> <p>The March 2019 Personnel and Staffing Background Screening Investigation policy states in part: Policy Statement</p> <p>Our facility conducts employment background screening checks, reference checks and criminal conviction investigation checks on all applicants for positions with direct access to residents (direct access employees).</p> <p>Policy Interpretation and Implementation</p> <p>(continued on next page)</p>		

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<p>F 0729</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Many</p>	<p>1. For purposes of this policy direct access employee means any individual who has access to a resident or patient of a long term care (LTC) facility or provider through employment or through a contract and has duties that involve (or may involve) one-on-one contact with a patient or resident of the facility or provider, as determined by the state for purposes of the national background check program.</p> <p>2. The director of personnel, or designee, conducts background checks, reference checks and criminal conviction checks (including fingerprinting as may be required by state law) on all potential direct access employees and contractors. Background and criminal checks are initiated within two days of an offer of employment or contract agreement and completed prior to employment.</p> <p>3. For any individual applying for a position as a certified nursing assistant, the state nurse aide registry is contacted to determine if any findings of abuse, neglect, mistreatment of individuals, and/or theft of property have been entered into the applicant's file.</p>		