

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 525655	(X2) MULTIPLE CONSTRUCTION A. Building B. Wing	(X3) DATE SURVEY COMPLETED 12/19/2024
NAME OF PROVIDER OR SUPPLIER Fond Du Lac Lutheran Home		STREET ADDRESS, CITY, STATE, ZIP CODE 244 N Macy St Fond Du Lac, WI 54935	

For information on the nursing home's plan to correct this deficiency, please contact the nursing home or the state survey agency.

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (Each deficiency must be preceded by full regulatory or LSC identifying information)
<p>F 0607</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Few</p> <p>Note: The nursing home is disputing this citation.</p>	<p>Develop and implement policies and procedures to prevent abuse, neglect, and theft.</p> <p>45942</p> <p>Based on staff interview and record review, the facility did not implement policies and procedures that prohibit and prevent abuse for 2 of 8 facility and contracted staff reviewed for caregiver background checks.</p> <p>The facility did not ensure thorough and timely background checks were completed for Certified Nursing Assistant (CNA)-C and CNA-D.</p> <p>Findings include:</p> <p>The facility's Comprehensive Abuse, Neglect, Mistreatment and Misappropriation of Resident Property Program policy, with a review date of 11/8/23, indicates: The object of the abuse policy is to comply with the seven-step approach to abuse and neglect detection and prevention .Screening: .It is the policy of this facility to screen employees .prior to working with residents. Screening components include verification of references, certification and verification of license, and criminal background check. Procedure: 1. Employee Screening and Training - a. Before new employees are permitted to work with residents, references provided by the prospective employee will be verified as well as appropriate board registrations and certifications regarding the prospective employee's background .d. A criminal background check will be conducted on all prospective employees as provided by the facility's policy on criminal background checks. A significant finding on the background check will result in denial accordance with state and federal regulations .</p> <p>On 12/19/24, Surveyor reviewed background check information for 8 facility and contracted staff, including CNA-C and CNA-D.</p> <p>CNA-C's hire date was listed as 7/27/04. Surveyor noted CNA-C's Background Information Disclosure (BID) form did not contain a date. The facility did not provide proof that a BID form for CNA-C was completed within the previous four years.</p> <p>CNA-D's hire dates were listed as 3/19/13 and 6/3/24 (rehired). The facility provided a BID form that was not dated. The facility did not provide proof that a BID form was completed for CNA-D prior to or on the date CNA-D was rehired.</p> <p>On 12/19/24 at 2:42 PM, Surveyor interviewed Assistant Nursing Home Administrator (ANHA)-E who indicated BID forms are completed before new staff can start working. ANHA-E verified CNA-C and CNA-D's BID forms were not dated and was unsure why. ANHA-E indicated BID forms should be dated.</p> <p>(continued on next page)</p>

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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<p>F 0607</p> <p>Level of Harm - Minimal harm or potential for actual harm</p> <p>Residents Affected - Few</p> <p>Note: The nursing home is disputing this citation.</p>	<p>On 12/19/24 at 2:49 PM, Surveyor left a message for Human Resources (HR)-I. Surveyor did not receive a return call as of this writing.</p>